

MEETING OF THE SCHOOLS FORUM

**Tuesday 19th October 2019, 1pm to 3pm
at Whitmore High School**

AGENDA

Item	Title	Attachments
1	Apologies & Order of Agenda	
2	Minutes of the Last Meeting – 15 October 2019	
3	Matters Arising	
4	DSG Budget Setting 2020-21	Report by Jo Frost attached
5	AOB	

Date of Next Meeting: 14 January 2020

Voting Members Circulation:

Mike Baumring (Headteacher-Kenmore Park Junior School)	Patrick O'Dwyer (Special Needs Service)
Jo Daswani (Headteacher-Whitchurch Primary School)	Anna Smakowska (Headteacher-Woodlands School)
David O'Farrell (Headteacher-St Bernadette's Primary School)	Anne Monahan (Headteacher-St Anselm's Primary School)
Sue Hammond (Chair) (Headteacher-Whitmore High School)	Vacant (Governor)
Nigel Hewett (AHGB Representative)	Jonathan Watson (Associate Headteacher – Whitefriars)
Rebecca Hastings (Headteacher-Cedars Manor School)	Pam Virdee (Headteacher-Longfield Primary School)
Sue Maguire (Headteacher-Hatch End High School)	16-19 Representative (Principals Harrow/Stammore/St Dominic's)
Rutinder Mahil-Pooni (Headteacher-Kenmore Park Inf Sch)	Jackie Halpin (Headteacher-Hillview Nursery School)
Paa-King Maselino (Headteacher-The Helix Education Centre)	Simon Arnell (Principal – Avanti House Secondary School)
Paul Gamble (Headteacher-Harrow High School)	Louise Browning (Headteacher – Norbury School)
Vacant (PVI representative)	Geraldine Higgins (Headteacher-Sacred Heart Lang College)
Clare Tarling (Finance Director, Pinner High School)	Vacant (Governor)

Non-Voting Members Circulation:

Cllr Christine Robson (Portfolio Holder)	Paul Hewitt (Director of Children's Services)
---	--

Copies to:

Johanna Morgan (Divisional Director, People Services)	Barbara Worrall (Schools Finance Manager)
--	--

Future Meeting Dates
14 January 2020
10 March 2020
5 May 2020
7 July 2020

SCHOOLS FORUM

Minutes of the Meeting held on Tuesday 15 October 2019 **at 1.00 pm at Whitmore High School**

Members Present: Sue Hammond – Headteacher, Whitmore High School (CHAIR)
Pam Virdee – Headteacher, Longfield School (VICE CHAIR)
Rebecca Hastings – Headteacher, Cedars Manor School
Sue Maguire – Headteacher, Hatch End High School
Anne Monahan – Headteacher, St Anselm's Primary School
Geraldine Higgins – Headteacher, Sacred Heart Language College
Paul Gamble – Headteacher, Harrow High School
Christine Robson – Portfolio Holder, Young People & Schools
Louise Browning – Headteacher, Norbury Primary School
Patrick O'Dwyer – Div. Director Education Services (Special Needs Services)
David O'Farrell – Headteacher, St Bernadette's Primary School
Jo Daswani – Headteacher, Whitchurch Primary School
Clare Tarling – Finance Director, Pinner High School

Officers in Attendance: Jo Frost - Finance Business Partner

SH opened the meeting.

1. Apologies and Order of the Agenda

Apologies were received and accepted from:

Rutinder Mahil-Pooni – Headteacher, Kenmore Park Infant School
Mike Baumring - Headteacher, Kenmore Park Junior School
Nigel Hewitt – AHGB

The order of the agenda was agreed.

The minutes of the meeting held on 2 July 2019 were agreed

2. Election of Chair and Vice Chair

Sue Hammond was nominated and elected as Chair for this academic year.
Pam Virdee was nominated and elected as Vice Chair for this academic year.

3. Matters Arising

a. School Place Planning & Admissions

SH confirmed that secondary heads agreed to work with the LA to look at possible solutions for pressures on Y7 in September 2020. PG will continue this with the secondary heads and the LA.

4. 2020-21 Budget Setting & Formula Review

JF introduced the report which set out the provisional school funding arrangements for 2020-21. Schools Forum noted that at the time of writing the report did not contain any detailed funding implications or allocations as these had not been published. However, they had subsequently been published and JF provided a verbal update at the meeting.

JF updated Schools Forum on the new Primary & Secondary Units of Funding (P/SUFs) and estimated that this would increase the overall funding available by around £5m based on the October 2018 census.

The main change to the formula will be the introduction of a new formulaic methodology for calculating Mobility funding. JF explained that the funding rates in the NFF are significantly lower than those currently used in Harrow's local formula.

A discussion took place around the indicative implications for schools of bringing the Harrow funding formula in line with the NFF. For some schools there will be a reduction of over £200k. However, these schools will be protected by the Minimum Funding Guarantee.

For the purposes of the schools funding consultation it was agreed that there needed to be indicative calculations so that schools can understand the implications of the change in methodology and funding for Mobility when responding to the consultation.

JF agreed to provide calculations based on the October 2018 census of the current and proposed factor values.

JF explained that the Minimum Funding Guarantee will also need to be consulted on but ultimately will be subject to affordability of the overall School Funding Formula.

JF explained that the consultation on mobility and MFG will be issued after half term and consult with SH re wording.

ACTION JF

DOF raised the issue of a reduction in pupils eligible for Free School Meals due to a reduction in eligibility and seemingly due to a number of families not applying for FSM. DOF agreed to draft a few bullet points, supported by the primary heads, for SH as chair of Schools Forum to take forward.

ACTION DOF/SH

5. 2019-20 DSG Budget Monitoring

JF introduced the report which set out the quarter 2 budget monitoring report for 2019-20.

The report highlights a projected HNB overspend of £4.1m and a small underspend on the Schools Block of £127k in relation to the Growth Fund.

Early Years

JF explained that the current forecasts are to budget and an adjustment has been made to the budget to reflect the January 2019 census data. There has been an overall net increase in early years budgets for 2019-20.

High Needs Block

JF explained that the HNB budget had been set at a deficit of £3.3m to reflect the projected shortfall on the overall allocation for 2019-20. The projected overspend is now expected to actually be £4.1m due to an increase in the requirement for post 16 provision and a number of movers in.

JF explained that the forecasts included possible placements for known young people that could happen as well as some capacity for overall growth.

Growth Fund

JF explained that there will be an underspend on the growth fund of £127k due to reallocation from the DfE for growth funding previously allocated to academies by the LA.

Contingency

The report set out the likely impact on the contingency and the updated position in relation to Early Years. There had been anticipated claw back for 2018-19 to reflect the January 2019 census. JF explained that there was now approximately £1.7m in the contingency which is not earmarked at present.

The report set out four areas that had previously been discussed in relation to possible uses of the contingency.

Schools Forum broke into small groups to discuss possible options for use of the contingency.

The groups fed back and the majority of groups supported a combination of contributing to the school funding formula in 2020-21, supporting schools with falling rolls and providing transitional funding to schools to mitigate the realignment of the £6ks for mainstream EHCPs from the HNB to the Schools Block.

The following two proposals were made:

Option A:

- £1m for 2020-21 Schools Funding Formula
- £350k for falling rolls
- £350k for mainstream EHCPs

Option B:

- £1m for 2020-21 Schools Funding Formula
- £700k for mainstream EHCPs

Schools Forum voted in favour of Option B in a majority of 8 votes to 3.

Any Other Business

POD thanked Schools Forum for their contribution to the Local Area Review.

Next Meeting and Agenda Items

The next meeting will take place on 19 November 2019 at Whitmore High School

The meeting closed at 2.50pm

Future Dates

19 November 2019
14 January 2020
10 March 2020

5 May 2020
7 July 2020

Meeting:	Schools Forum
Date:	19 November 2019
Subject:	Item 4: DSG Budget Setting 2020-21
Responsible Officer:	Jo Frost, Finance Business Partner – Children's Services

Section 1 – summary

1. This report updates Schools Forum on the 2020-21 DSG budget setting and outcome of the schools funding consultation.
2. Schools Forum is required to:
 - Vote to decide whether to move to the National Funding Formula in respect of the Mobility Factor
 - Vote to decide (in principle) on the level of the Minimum Funding Guarantee applied in the formula
 - Vote to decide if there will be a transfer of 0.5% of the Schools Block into the High Needs Block as set out at paragraph 36

Section 2 – report

3. In 2018-19 the government introduced a new National Funding Formula (NFF) for Schools, High Needs and the Central Schools Services Block. For the schools block this means that each LA will be funded on the basis of the aggregate of the NFF for all schools, academies and free schools in its area but the final formula for distribution will be determined by each LA following consultation with schools and Schools Forums.
4. The LA carried out a consultation in Autumn 2017 which sought views on whether the LA should continue to use the Harrow Schools Funding Formula or introduce the National Funding Formula from 2018-19.
5. 76% of schools responded to the consultation and 89% voted in favour of introducing the NFF from 2018-19. The NFF will continue for 2020-21.

Schools Block Baseline Funding 2020-21

6. Table 1 shows the 2020-21 Primary and Secondary Units of Funding (P/SUF) and the total allocation based on the October 2018 schools census. This will be updated for the October 2019 census in December 2019.

Table 1 – indicative baseline funding 2020-21

Factors	PUF	Pupils	SUF	Pupils	Total
Per pupil	£4,275	21,574	£5,823	11,863	£161,302,622
Premises & mobility					£2,773,763
Growth Fund					£3,235,290
Grand Total Indicative Schools Block 2020-21					£167,311,674

7. Funding will be allocated to the LA on the basis of the P/SUF in the above table. This will then be distributed to schools using the NFF. The factor values for this are shown in Table 2 below.

Table 2 – funding formula factor values 2019-20 and indicative 2020-21

Factor	2019-20		Indicative 2020-21	
	Primary	Secondary	Primary	Secondary
Primary AWPU	£3,016.28		£3,137.07	
KS3 AWPU		£4,241.31		£4,411.88
KS4 AWPU		£4,815.75		£5,008.11
FSM	£483.13	£483.13	£494.11	£494.11
Ever6	£592.94	£861.95	£614.90	£894.89
IDACIF	£219.61	£318.43	£230.59	£329.41
IDACIE	£263.53	£428.23	£274.51	£444.70
IDACID	£395.29	£565.49	£411.76	£587.45
IDACIC	£428.23	£614.90	£444.70	£636.86
IDACIB	£461.17	£658.82	£477.64	£686.27
IDACIA	£631.37	£889.40	£658.82	£922.35
LPA	£1,122.19	£1,701.95	£1,169.40	£1,767.83
EAL	£565.49	£1,520.77	£587.45	£1,581.16
Mobility	£2,980.90	£2,668.82	£875.00	£1,250.00
Lump Sum	£120,783.30	£120,783.30	£125,614.63	£125,614.63

Consultation 2020-21

8. There are no proposed changes to the **structure** of the formula for 2020-21.
9. However, there are a number of other areas which required consultation. A short consultation was carried out from 1st to 15th November and is attached at Appendix A. The consultation covered the following issues:

- Changes to methodology for funding pupil mobility
- The value of the minimum funding guarantee
- Transferring 0.5% from the Schools Block to the High Needs Block

10. There were a total of 29 (54%) responses received as shown in Table 3

Table 3 – summary of consultation responses

Phase	Number of Schools	Responses	%
All Through	1	1	100%
Primary	41	19	46%
Secondary	12	9	75%
Total	54	29	54%

Mobility Funding

11. The consultation document set out proposed changes to the Mobility factor in the National Funding Formula for 2020-21. These are summarised at Table 4.

Table 4 – summary of proposed changes to the Mobility factor

Factor	2019-20	2020-21
Cohort	1 year census	3 year censuses
Threshold of numbers on roll above which funding is allocated	10%	6%
Per pupil funding	Primary £2,980.90 Secondary £2,668.82	Primary £875.00 Secondary £1,250.00

12. Question 1 asked: Do you support the proposal to fully implement the National Funding Formula in respect of the mobility factor and adopt the funding rates for mobility

13. A summary of the responses is shown at Table 5.

Table 5 – consultation question 1 summary of responses

Phase	Number of respondents	Yes		No	
		Number	%	Number	%
All Through	1	1	100%	0	0%
Primary	19	11	58%	8	42%
Secondary	9	9	100%	0	0%
Total	29	21	72%	8	28%

14. As shown in Table 5 **72%** of respondents support the proposal to fully implement the NFF factor values in respect of mobility. The consultation also

provided schools with an opportunity to make any comments. A number of schools provided additional comments of which the main themes were:

- Those in favour of expressed the need to smooth in line with national funding formula before national roll out and on the basis that schools adversely affected will be protected by positive MFG
- Those not in favour expressed concerns of moving to NFF for mobility so quickly and would rather have time to plan for this when a date for a hard NFF is confirmed

15. Schools Forum is asked to vote to decide whether to implement the NFF factor values for Mobility for 2020-21.

Minimum Funding Guarantee

16. LAs will continue to set an MFG. In 2020-21 the allowable range of the MFG will be between **+0.5% and +1.84%**.

17. Question 2 asked: Do you support the proposal to apply the highest MFG subject to affordability and ensuring that no school's budgets unfairly distorted.

18. A summary of the responses is shown at Table 6.

Table 6 – consultation question 2 summary of responses

Phase	Number of respondents	Yes		No	
		Number	%	Number	%
All Through	1	1	100%		
Primary	19	19	100%		
Secondary	9	9	100%		
Total	29	29	100%	0	0%

19. As shown in Table 6 **100%** of respondents support the application of a higher MFG. The consultation also provided schools with an opportunity to make any comments. A number of schools provided additional comments of which the main themes were:

- Would like schools with increasing need, as defined by the funding formula, to be funded fairly. Those in favour of +0.5% expressed a need to ensure that as much funding goes to schools as possible
- This would fairly benefit all schools

20. Schools Forum is asked to vote to decide, in principle and subject to affordability, on the level of MFG applied to the funding formula for 2020-21. It is not planned to cap gains in the formula but this will be subject to affordability of the final DSG settlement.

High Needs Funding

21. The consultation also sought views on whether to transfer funding from the schools block to the high needs block in 2020-21.
22. In 2019-20 the High Needs Block budget is £30.949m after recoupment of place funding for academies/free schools and post 16 institutions and including a 0.25% transfer from the Schools Block (£0.4m) agreed for 2019-20. As at Q2 it is forecasting to overspend by £4.1m.
23. In October 2019 the government announced an additional £700m of funding for High Needs for local authorities. Initial calculations suggest that this will generate additional funding for Harrow of approx. £2.7m. This will contribute to the increasing shortfall in funding provided for the High Needs Block and mitigate some of this year's projected £4.1m deficit which will be carried forward to 2021-22.
24. Within the Operational Guidance, the DfE lists the expected evidence that will be presented to Schools Forum to enable a decision on transfer to take place. The required evidence is listed and explained below:

1) Details of any previous movements between blocks

Schools Forum agreed transfers of 0.5% and 0.25% in 2018-19 and 2019-20 respectively.

2) Full breakdown of pressures that have led to a requirement for a transfer

This has been provided to Schools Forum consistently through the quarterly budget monitoring reports (the most recent of which was provided at the July 2019 meeting). Pressures can be summarised as follows:

- New NFF which has generated £2.9m less funding than the budget in the previous year
- Increase in EHCPs from 1,168 January 2014 to 1,647 January 2019 (41%).
- Complexity of need and cost of provision increasing
- More children with Severe Learning Disabilities (SLD) in Harrow. In-borough SLD provision cost on average £26k-£29k per annum per child

compared with out-borough independent SLD day provision which cost on average £47k-£68k per annum per child

- Extended age ranges means CYP are not ageing out (as they previously would) of the system at 19 whilst more CYP continue to enter the system and also at an earlier age
- Increase in requirement for post 16 and post 19 provision
- Majority of SEND provision in-borough is at capacity
- Physical site limitations to increase capacity as well as regulations which don't allow the LA to open new schools and the LA's two previous special free school bids have been unsuccessful.
- Cost of outcome of tribunals

3) Plans to bring high needs expenditure to levels that can be sustained

SEND Strategy has four priorities:

- Priority 1 – Specialist Provision
- Priority 2 – Social Emotional & Mental Health (SEMH)
- Priority 3 – Post 16
- Priority 4 – SEN Support

However projected overspends in the HNB are **unlikely** to be fully mitigated by the strategy alone due to the increase in demand

4) Extent to which collaborative working is being developed as a means of securing suitable high needs placements at a cost that can be afforded

- Support CYP in mainstream settings where possible to prevent need for specialist provision. Proposal to introduce a banding matrix for mainstream EHCPs and implement an SEND Support Fund with increased top up funding available.
- Continue to create local specialist provision to prevent the need for out of borough & independent provision. Proposal to introduce a banding matrix and increase investment for Harrow special schools
- Review and develop existing and future ARMs provision in mainstream schools and early years settings to meet an expanded range of needs.
- Improve the sharing of information and data in order to facilitate the transition of learners into local post 16 provision
- Continue to develop local specialist Post 16 provision in collaboration with local special schools and local colleges to meet local demand for placements
- Continue to negotiate costs of provision with providers (WLA Framework)

- Consider the model of SEMH support available to schools, settings families and young people from all partners to deliver an inter-agency response.
- Engage with local leaders in the LA, Health, schools and settings to ensure that there is a shared understanding of the standards and expectations in relation to mainstream SEND provision in order to provide a Harrow wide approach

5) Evidence of contributions from health/social care budgets towards costs of specialist places

The CCG contributes approximately £350k pa towards specialist provision. This is child-led and based on a child meeting continuing care criteria. The process for agreeing the funding is through the Tripartite Panel which is responsible for deciding on the funding of children in Harrow who are assessed as being in need of joint funding for treatment and care through a combination of Social Care and / or Education and Health. This panel meets monthly and is chaired by the Corporate Director People Services.

6) Funding for high needs pupils in mainstream provision

One of the few areas which the LA can control costs would be to reduce the top-up funding given to schools for EHCPs. However, this is not currently being considered since it could be seen to be counter intuitive to inclusion. At the time of writing the LA is consulting on a proposal to introduce a High Needs Banding Matrix for pupils with EHCPs in mainstream schools. The consultation also proposes the introduction of an SEND Support fund to support schools with a higher proportion of EHCPs. Under the new proposal there would be increased investment of £1.3m.

7) Impact of transfer on the schools block

The final 2020-21 DSG settlement is not yet announced therefore the overall affordability and impact on schools is unclear. A 0.5% transfer would represent approx. £836k. There is an anticipated increase in funding in the schools block in 2020-21 of approx. £6.5m which represents an increase of 4% if an MFG of +0.5% was applied. A transfer from the Schools Block to the HNB may mean that an MFG of higher than +0.5% may not be possible.

8) Results of consultation

In the LA consultation Question 3 asked: Do you support transferring 0.5% of the schools budget into High Needs in 2020-21 to enable the LA to partially offset the projected shortfall in funding for children with High Needs? The response is shown at Table 6 below.

Table 7 – consultation question 2 summary of responses

Phase	No. of respondents	Yes		No	
		Number	%	Number	%
All Through	1	0	0%	1	100%
Primary	19	2	11%	17	89%
Secondary	9	0	0%	9	100%
Total	29	2	7%	27	93%

25. As shown in Table 6 93% of respondents do not support a transfer from the Schools Block into the High Needs Block. A number of schools provided additional comments of which the main themes were:

- Those not in favour of the transfer commented:
 - The LA needs to address of HNB funding with the DfE.
Topslicing funding from the schools block reduces funding for schools and masks the issues of underfunding in the HNB which the government needs to address.
 - The hard NFF will not allow for topslicing and there needs to be a more permanent solution
- Those in favour of the transfer commented:
 - recognise that this is a wider issue which will need to be properly addressed at some stage by the government but unfortunately we need this funding in the meantime in order to be able to offer these children the level of support they require

26. However 9 schools did state in the comments that the decision may be revisited once it is clear what the additional funding would be spent on.

27. For clarity any transfer would contribute to existing and future pressures on the High Needs Block. It is proposed, as set out in paragraph 24 point 6, to invest an additional £1.3m in top up funding for mainstream ECHPs funding and in addition the LA is currently consulting on a HN matrix for special schools which would invest a further £900k in Harrow local special school provision.

28. Schools Forum is asked to vote to decide if there will be a transfer to the High Needs Block in 2020-21.

Section 3 – contact details

Contact:

Jo Frost
Finance Business Partner – Children's Services
020 8424 1978
Jo.Frost@harrow.gov.uk

Harrow Schools Funding Formula 2020-21

Consultation Document

**People Services, Children's Services
November 2019**

Consultation Process

This document is concerned with proposed changes to the Harrow Schools Funding affecting maintained schools, academies and free schools in Harrow.

Local authorities must engage in open and transparent consultation with all maintained schools and academies in the area, as well as schools forums, about any proposed changes to the local funding formula including the method, principles and rules adopted.

Any consultation should include a demonstration of the effect of modelling such changes on individual maintained schools and academies. Whilst the consultation doesn't have to be for a long period it does have to be meaningful.

Responsibility for approving the local funding formula

The Local Authority (LA) is responsible for making the final decisions on the formula and must ensure that there is sufficient time to gain political approval before the submission deadline in January 2020. The LA is required to consult Schools Forum prior to making this decision.

Political ratification means approval in line with the Authority's local scheme of delegation, so this may be decisions by the council cabinet, cabinet member or full council.

Responsibility for agreeing transfers between blocks

Schools Forum is responsible for making the final decision on any transfer of funding between DSG blocks in consultation with all schools.

Disclaimer

Any figures contained in this document are for illustrative purposes only to demonstrate the continuation of the National Funding Formula based on the October 2018 schools census. These figures are subject to changes as a result of the October 2019 schools census in relation to fluctuations in pupil numbers and changes in pupil characteristics which impact on per pupil funding. In addition any changes to the Minimum Funding Guarantee are subject to affordability of the overall funding available.

**This consultation opened on Friday 1st November 2019.
The deadline for the response to this consultation is Friday
15th November 2019.**

How to respond:

We can only accept one response per school/academy

There are three consultation questions which start on page 14 of this document.
Responses to the consultation must be made in writing by the above date and sent to:

Email: Jo.Frost@Harrow.gov.uk.

Or;

Post: FAO: Jo Frost, Finance Business Partner
Harrow Council
Civic Centre 3rd Floor, West Wing
Station Road
Harrow
HA1 2XF

For queries, please contact:

Jo Frost, Finance Business Partner - Children's Services
Jo.Frost@Harrow.gov.uk
020 8424 1978

Background

1. In 2018-19 the government introduced a new National Funding Formula (NFF) for Schools, High Needs and the Central Schools Services Block. For the Schools Block this meant that LAs are funded on the basis of the aggregate of the national funding formula for all schools, academies and free schools in its area but the final formula for distribution is determined by each Council following consultation with schools and Schools Forums.
2. The LA carried out a consultation in Autumn 2017 which sought views on whether the LA should continue to use the Harrow Schools Funding Formula or introduce the National Funding Formula from 2018-19. 76% of schools responded to the consultation and 89% voted in favour of introducing the National Funding Formula from 2018-19. Therefore there are no proposed changes to the **structure** of the formula for 2020-21.
3. However, there are a number of other areas which require consultation and these are set out in detail in this document but in summary are:
 - Changes to methodology for funding pupil mobility
 - The value of the minimum funding guarantee
 - Transferring 0.5% from the Schools Block to the High Needs Block

Schools Block

4. In 2020-21 a 'soft' national funding formula will continue to operate. This means that the LA will continue to determine the schools funding formula locally for an additional year. Previously the government had announced that it intends to implement the NFF as a 'hard' formula from 2021-22 which means that school allocations will be determined by the DfE rather than local authorities in consultation with Schools Forums. However there has not yet been confirmation of this.
5. The expectation is that the Schools Block will be fully delegated to schools, academies and free schools. The exception is that with the agreement of Schools Forum the LA may transfer up to 0.5% of the Schools Block into the High Needs Block.

Funding Formula

6. The structure of the National Funding Formula (NFF) is set out at Table 1.

Table 1 – schools national funding formula factors

A	Basic per pupil funding	Age weighted pupil unit							
B	Additional Needs Funding	Deprivation		Low prior attainment		English as an additional language		Mobility	
C	School led funding	Lump sum	Sparsity	Premises				Growth	
				Rates	PFI	Split sites	Exceptional circs		
D	Geographi c Funding	Area Cost Adjustment							

7. The current factor values and indicative factor values for 2020-21 including the Area Cost Adjustment is shown at Table 2. The DfE states that all of the key factors will increase in the NFF by 4%. The FSM factor will be increased by 1.84% in line with inflation.

Table 2 – indicative funding formula factor values

Factor	2019-20		Indicative 2020-21	
	Primary	Secondary	Primary	Secondary
Primary AWPU	£3,016.28		£3,137.07	
KS3 AWPU		£4,241.31		£4,411.88
KS4 AWPU		£4,815.75		£5,008.11
FSM	£483.13	£483.13	£494.11	£494.11
Ever6	£592.94	£861.95	£614.90	£894.89
IDACIF	£219.61	£318.43	£230.59	£329.41
IDACIE	£263.53	£428.23	£274.51	£444.70
IDACID	£395.29	£565.49	£411.76	£587.45
IDACIC	£428.23	£614.90	£444.70	£636.86
IDACIB	£461.17	£658.82	£477.64	£686.27
IDACIA	£631.37	£889.40	£658.82	£922.35
LPA	£1,122.19	£1,701.95	£1,169.40	£1,767.83
EAL	£565.49	£1,520.77	£587.45	£1,581.16
Mobility	£2,980.90	£2,668.82	£875.00	£1,250.00
Lump Sum	£120,783.30	£120,783.30	£125,614.63	£125,614.63

Indicative School Budgets 2020-21

8. Indicative school budgets based on the October 2018 census are shown at Appendix A. The budgets have been based on a Minimum Funding Guarantee of +0.5% which is the lowest that can be applied. **It should be noted that these budgets are subject to changes in relation to the October 2019 schools census.** These include:

- Fluctuations in pupil numbers
- Changes in demographics resulting in changes to per pupil levels of funding
- Affordability of the overall funding formula which may dictate the final MFG applied.

Mobility

9. The mobility factor is intended to support schools in which a high proportion of pupils first join on a non-standard date. In 2020-21 the government is introducing a formulaic approach to allocating mobility funding in the NFF, using a new and more robust methodology to determine pupil mobility than that used previously in LA's local formulae.
10. In 2019-20 mobility funding has been allocated to LAs based on what was spent on mobility the year before, due to the unreliability of the previous datasets. However this is not consistent as increases in mobility did not attract additional funding.
11. The new methodology involves tracking individual pupils using their unique pupil ID through censuses from the past 3 years rather than relying on a single census. If the first census when the pupil was in the school was a spring or summer census, they are classified as a mobile pupil. This excludes reception pupils who start in January. For the purposes of the factor, pupils who joined in the summer term after the summer census, or pupils who joined in October before the autumn census will not be counted as mobile.
12. For each LA the mobility factor will allocate funding for schools whose proportion of mobile pupils in each phase is above a threshold of 6%. In 2019-20 the threshold is 10%.
13. The reason for the reduction in the threshold is that the new methodology eliminates pupils incorrectly identified as mobile under the old system and therefore to minimise disruption the threshold has been lowered in order to capture a similar cohort size accepting that there will be fluctuations between LAs and schools.

14. In addition the final proposed change is the per pupil factor value. All mobile pupils above the 6% threshold will be allocated £875 per primary pupil and £1,250 per secondary pupil. This is significantly lower than the per pupil rate in the 2019-20 formula.

15. A summary of changes is shown in Table 3 below.

Table 3 – summary of proposed changes to mobility factor

Factor	2019-20	2020-21
Cohort	1 year census	3 year censuses
Threshold of numbers on roll above which funding is allocated	10%	6%
Per pupil funding	Primary £2,980.90 Secondary £2,668.82	Primary £875.00 Secondary £1,250.00

16. This means that eligible schools will receive significantly less per pupil than in previous years. However, schools will still be protected from per pupil losses by the MFG. In 2019-20 approximately half of Harrow schools receive funding through the mobility factor, to varying degrees.

17. The transition to the new methodology is not optional and therefore the mobility funding will be allocated based on a pupil tracked through censuses from the past three years and the threshold for eligibility will reduce from 10% to 6%.

18. However there is discretion with regards to the per pupil value of the factor used in the local funding formula in 2020-21.

19. In 2019-20 the LA received £980k of funding for mobility and the formula distributed £1.4m of funding through this factor. However it is estimated that the NFF in 2020-21 will only generate around £500k to fund mobility.

20. If the factor value applied in 2020-21 is higher than the per pupil rates set by the DFE then the funding would need to be found from within other aspects of the Schools Block including impacting on the affordability of the MFG applied to all schools.

21. The LA is seeking views as to whether the Harrow formula should continue to use the current formula factor values as set out at Table 3 above or adopt the National Funding Formula and implement the new factor rates. This would mean that the LA had fully implemented the NFF.

22. If the current factor rates are maintained for 2020-21 this would mean there would be approximately £1m of funding required to support schools eligible for

mobility over and above the funding the LA will receive. This will impact on the affordability of the MFG and overall gains for all schools.

23. Appendix B shows the potential changes in funding between years for those eligible for the factor. The figures are based on the October 2018 census and will be updated for the October 2019 census so are not a true reflection of the changes in overall levels of funding however schools can see the comparative impact as a result of the changes.

24. See Consultation Question 1 (page 14)

Minimum Funding Guarantee (MFG)

- LAs will continue to set an MFG. In 2020-21 the allowable range of the MFG will be between **+0.5% and +1.84%**.
- In previous years the MFG allowable range has been -1.5% and 0.5% and after consultation with schools and schools forum continued to set an MFG of -1.5% to enable the majority of schools to move to (or as close to) the NFF as possible during the 'soft' NFF years. However a negative MFG is not allowable in 2020-21.
- However for 2020-21 the allowable range starts at +0.5%. This is prescribed by the DfE. This will mean that schools will **gain** at least 0.5% **per pupil** more than that received in 2019-20.
- For 2020-21 the LA needs to consult on the level of MFG as part of setting the funding formula.

25. Whilst the LA is consulting on the Minimum Funding Guarantee to seek schools' views, the level of MFG may have to be determined by the affordability of the overall formula.

26. See Consultation Question 2 (page 14)

27. Please note the indicative school budgets at Appendix A have been calculated using an MFG of +0.5%

High Needs Funding

28. The funding is driven by:

- Basic entitlement factor for each pupil in a special school or special post 16 institution as recorded on the Alternative Provision census
- Historic lump sum equal to 50% of each LAs historical high needs blocks

- Proxy factors for population, deprivation, health and disability, and low attainment.

29. High Needs funding is managed by the LA and is designed to support a continuum of provision for pupils and students with special educational needs (SEN), learning difficulties and disabilities, from their early years to age 25. The spend is set out over the following categories as shown at Table 3

Table 3 – High Needs Block Expenditure

Service Area	2019-20 Forecast	2018-19 Actual	2017-18 Actual
Independent Specialist Provision - pre 16	£6,741	£5,780	£5,846
Independent Specialist Provision - post 16	£1,384	£1,248	£837
Local Authority Special Schools	£12,563	£11,448	£11,073
Additionally Resourced Mainstream Units	£1,543	£1,469	£1,959
ECHPs in mainstream provision	£6,456	£5,849	£5,384
FE Colleges	£2,188	£1,791	£1,263
Early Years SEN Provision	£356	£239	£253
EOTAS & Alternative Provision	£1,702	£1,723	£1,711
Sensory Teams	£1,151	£1,122	£1,108
SEN Transport	£187	£187	£187
Therapy	£773	£708	£767
Total High Needs Block Expenditure	£35,045	£31,564	£30,388

Budget and demand pressures

30. The new funding formula for High Needs Funding has reduced the overall level of funding available. This is in part as a result of 50% of the funding being based on previous years' allocations (prior to any growth agreed by Schools Forum) and so the baseline budget is lower than the actual spend in previous years.

31. There has also been a significant growth in demand for Education Health and Care Plans (EHCPs) and the cost of SEN provision across the whole age range. However, since the SEND Reforms in 2014 there has been an exponential growth in under 5, post 16 and post 19 provision with expenditure in these categories

32. For Harrow there has been an increase in the HNB budget since 2013 of £6.809m (28%) compared with an increase in HNB spend of £10.048m (41%)

over the same period and increase in Education Health and Care Plans from 1,168 January 2014 to 1,647 January 2019 (41%).

33. It should also be noted that historical High Needs Block allocations (of which current and future allocations will be based) was only estimated based on pupils aged 5-16 years old and has not taken account, in the entirety, of those pupils under 5 and post 16 years old. There is also a growth in complexity of need and a lack of capacity within mainstream settings to provide a graduated response to additional needs before turning to a statutory process.

34. In 2019-20 the High Needs Block budget is £30.949m after recoupment of place funding for academies/free schools and post 16 institutions and including a 0.25% transfer from the Schools Block (£0.4m) agreed for 2019-20. As at Q2 it is forecasting to overspend by £4.1m.

35. In October 2019 the government announced an additional £700m of funding for High Needs for local authorities. Initial calculations suggest that this will generate additional funding for Harrow of approx. £2.7m. This will contribute to the increasing shortfall in funding provided for the High Needs Block and mitigate some of this year's projected £4.1m deficit which will be carried forward to 2021-22.

SEND Strategy

36. The SEND Strategy was agreed by Cabinet in February 2019 and work within the four confirmed strategic priorities will contribute to increasing SEND provision and further develop services and approaches for joint working, early intervention and prevention. The four strategic priority areas are:

- Priority 1 – Specialist Provision
- Priority 2 – Social Emotional & Mental Health (SEMH)
- Priority 3 – Post 16
- Priority 4 – SEN Support

Progress to date

37. To date the LA has increased the provision for special education needs and continues to seek such opportunities.

- Additional places were created at Shaftesbury, Kingsley and Woodlands, and Additionally Resourced Mainstream Provision was

opened at Bentley Wood, Pinner High, West Lodge, Earlsmead and Priestmead in September 2015 as part of the school expansion programme.

- Early Years ARMs places were opened at Hillview Nursery School in September 2016, initially as a pilot, creating nursery ARMs provision and adopting the place and top-up model for funding.
- Additional places at Woodlands from September 2018
- A new SLD ARMs unit opened at Belmont Primary School in September 2019
- 2 expressions of interest for a special free school have been unsuccessful.
- Proposal to increase the investment in top up funding for mainstream EHCPs (currently being consulted on)
- Proposal to increase the investment in top up funding for Harrow special schools (currently being consulted on)

38. Over the last three months, the LA has engaged with its partners in a series of workshops for each priority area to identify and shape an agreed set of actions which will now be taken forward led by a dedicated SEND Programme Lead and in collaboration with the LA, schools, colleges and partner agencies in Harrow.

39. A detailed implementation plan will now be developed with targeted timescales over the short, medium and long term and communicated to all partners.

40. The agreed actions are:

- Complete a specialist provision sufficiency exercise and publish data so all partners can clearly see the projections/demand for provision for SEND 0-25
- Review /evaluate all existing ARMs provision to ensure the right ARMs, in the right place meeting the right needs and pathways through the various age phases
- Improve the sharing of information and data in order to facilitate the transition of learners into local post 16 provision
- Develop local specialist Post 16 provision in collaboration with local special schools and local colleges to meet local demand for placements

- Develop a meaningful 4/5 day offer that provides work experience, education opportunities, social skills and independence.
- Ensure that health provision and therapy provision is available in Harrow's post 16 settings.
- Further develop and share a tiered approach which sets out locally agreed expectations on all partners in relation to SEMH
- Consider the model of SEMH support available to schools, settings families and young people from all partners to deliver an inter-agency response.
- Develop a CPD programme and personal development opportunities for all to improve the knowledge and understanding of SEMH.
- Review the relationship and resources available through the Helix Centre to schools and the potential to widen its SEMH offer.
- Engage with local leaders in the LA, Health, schools and settings to ensure that there is a shared understanding of the standards and expectations in relation to mainstream SEND provision in order to provide a Harrow wide approach.
- Provide Harrow guidance and toolkits for SENCOs and senior leaders
- Develop an effective SEN CPD offer. Audit the current offer and analyse take up. Ensure that there is sufficient CPD for ASD, neuro diversity and SEMH
- Improve the model of support for schools. Consider how this can be achieved through LA services, clusters and peer to peer arrangements.

41. The implementation of the plan will enable SEND resources across the system to be deployed most efficiently. The success of the implementation plan is dependent on the engagement, interest, capacity and commitment of schools and all partners.

42. The implementation plan will contribute to increasing provision, knowledge and support -in borough thus reducing out-borough placements and the use of Independent and Non Maintained Special School (INMSS) provision.

43. Whilst there are a number of positive actions in place and planned, the ability for these to have an immediate impact on the budget is limited and therefore

there will continue to be a pressure in 2020-21. The LA is therefore seeking a transfer of 0.5% of the schools block into the High Needs Block in order to mitigate some of the pressure. The value of the transfer at 0.5% would be approximately £820k.

44. See Consultation Question 3 (page 15)

CONSULTATION QUESTIONS

Question 1: Mobility Funding

Do you support the proposal to fully implement the National Funding Formula in respect of the mobility factor and adopt the funding rates for mobility as set out in Table 3 above

YES _____

NO _____

Comments

Question 2: Minimum Funding Guarantee

The LA is able to set a minimum funding guarantee of between +0.5% and +1.84% (as prescribed by the DfE), subject to affordability of the overall formula. Do you support the proposal to apply the highest MFG subject to affordability and ensuring that no school's budgets unfairly distorted.

YES _____

NO _____

Comments

Question 3: High Needs Block Transfer

Do you support transferring 0.5% of the schools budget into High Needs in 2020-21 to enable the LA to partially offset the projected shortfall in funding for children with High Needs?

YES _____

NO _____

Comments

SCHOOL NAME _____

**This consultation opened on Friday 1st November 2019.
The deadline for the response to this consultation is Friday
15th November 2019.**

How to respond:

We can only accept one response per school/academy

There are three consultation questions which start on page 13 of this document.
Responses to the consultation must be made in writing by the above date and sent to:

Email: Jo.Frost@Harrow.gov.uk.

Or;

Post: FAO: Jo Frost, Finance Business Partner
Harrow Council
Civic Centre 3rd Floor, West Wing
Station Road
Harrow
HA1 2XF

For queries, please contact:

Jo Frost, Finance Business Partner - Children's Services
Jo.Frost@Harrow.gov.uk
020 8424 1978

APPENDIX B - INDICATIVE IMPACT ON MOBILITY FUNDING 2020-21

	2019-20 actual funding at 10% threshold		2020-21 indicative funding at 6% threshold		Change
School Name	£2980.90 per primary pupil	£2668.82 per secondary pupil	£875 per primary pupil	£1250 per secondary pupil	
Avanti House Primary School	£0.00	£0.00	£2,401.38	£0.00	£2,401.38
Avanti House School	£0.00	£0.00	£0.00	£6,653.15	£6,653.15
Aylward Primary School	£29,510.91	£0.00	£11,109.46	£0.00	-£18,401.45
Belmont School	£20,568.21	£0.00	£5,479.76	£0.00	-£15,088.45
Bentley Wood High School	£0.00	£0.00	£0.00	£0.00	£0.00
Camrose Primary With Nursery	£25,933.83	£0.00	£6,305.54	£0.00	-£19,628.29
Cannon Lane Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
Canons High School	£0.00	£0.00	£0.00	£0.00	£0.00
Cedars Manor School	£74,820.59	£0.00	£15,570.92	£0.00	-£59,249.67
Earlsmead Primary School	£0.00	£0.00	£12,478.66	£0.00	£12,478.66
Elmgrove Primary School & Nursery	£102,542.96	£0.00	£31,751.05	£0.00	-£70,791.91
Glebe Primary School	£33,386.08	£0.00	£11,450.66	£0.00	-£21,935.42
Grange Primary School	£115,957.01	£0.00	£30,928.15	£0.00	-£85,028.86
Grimsdyke School	£5,067.53	£0.00	£6,396.19	£0.00	£1,328.66
Harrow High School	£0.00	£226,049.05	£0.00	£85,195.44	-£140,853.61
Hatch End High School	£0.00	£16,279.80	£0.00	£23,703.87	£7,424.06
Heathland School	£27,722.37	£0.00	£17,715.66	£0.00	-£10,006.71
Kenmore Park Infant and Nursery School	£0.00	£0.00	£0.00	£0.00	£0.00
Kenmore Park Junior School	£0.00	£0.00	£0.00	£0.00	£0.00
Krishna Avanti Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
Longfield Primary School	£7,750.34	£0.00	£2,441.89	£0.00	-£5,308.45
Marlborough Primary School	£109,995.21	£0.00	£21,766.77	£0.00	-£88,228.44
Newton Farm Nursery, Infant and Junior School	£0.00	£0.00	£0.00	£0.00	£0.00
Norbury School	£112,976.11	£0.00	£18,110.34	£0.00	-£94,865.77
Nower Hill High School	£0.00	£0.00	£0.00	£0.00	£0.00
Park High School	£0.00	£0.00	£0.00	£0.00	£0.00
Pinner High School	£0.00	£0.00	£0.00	£0.00	£0.00
Pinner Park Infant and Nursery School	£0.00	£0.00	£0.00	£0.00	£0.00
Pinner Park Junior School	£0.00	£0.00	£0.00	£0.00	£0.00
Pinner Wood School	£56,339.01	£0.00	£13,205.59	£0.00	-£43,133.42
Priestmead Primary School and Nursery	£0.00	£0.00	£0.00	£0.00	£0.00
Rooks Heath College	£0.00	£0.00	£0.00	£1,000.34	£1,000.34
Roxbourne Primary School	£108,802.85	£0.00	£33,363.75	£0.00	-£75,439.10
Roxeth Primary School	£43,819.23	£0.00	£9,044.58	£0.00	-£34,774.65
Saint Jerome Church of England Bilingual School	£0.00	£0.00	£0.00	£0.00	£0.00
Salvatorian Roman Catholic College	£0.00	£0.00	£0.00	£0.00	£0.00
St Anselm's Catholic Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
St Bernadette's Catholic Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
St George's Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
St John Fisher Catholic Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
St John's CofE School Stanmore	£21,462.48	£0.00	£12,734.90	£0.00	-£8,727.58
St Joseph's Catholic Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
St Teresa's Catholic Primary School and Nursery	£0.00	£0.00	£0.00	£0.00	£0.00
Stag Lane Infant and Nursery School	£0.00	£0.00	£0.00	£0.00	£0.00
Stag Lane Junior School	£0.00	£0.00	£0.00	£0.00	£0.00
Stanburn Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
The Moriah Jewish Day School	£0.00	£0.00	£0.00	£0.00	£0.00
The Sacred Heart Language College	£0.00	£0.00	£0.00	£0.00	£0.00
Vaughan Primary School	£4,769.44	£0.00	£0.00	£0.00	-£4,769.44
Weald Rise Primary School	£132,351.96	£0.00	£27,893.43	£0.00	-£104,458.53
Welldon Park Primary School	£11,923.60	£0.00	£0.00	£0.00	£1,058.22
West Lodge Primary School	£0.00	£0.00	£0.00	£0.00	£0.00
Whitchurch Primary School & Nursery	£15,202.59	£0.00	£7,748.26	£0.00	-£7,454.33
Whitefriars School	£65,281.71	£40,299.18	£16,134.56	£24,960.02	-£64,486.31
Whitmore High School	£0.00	£0.00	£0.00	£0.00	£0.00
	£1,126,184.02	£282,628.04	£327,013.32	£141,512.81	
		£1,408,812.06		£468,526.13	-£940,285.93

Changes from 2019-20 to 2020-21

- 1) change from one year pupil cohort to rolling 3 year pupil cohort
- 2) funding rates reduced in 2020-21
- 3) threshold for funding reduced from 10% to 6% meaning all pupils above 6% of NOR considered mobile receive funding

Disclaimer

Any figures contained in this document are for illustrative purposes only to demonstrate the impact of changes to Mobility based on the October 2018 schools census. These figures are subject to changes as a result of the October 2019 schools census in relation to fluctuations in pupil numbers and changes in pupil characteristics which impact on mobility funding.

APPENDIX A - INDICATIVE SCHOOL BUDGETS 2020-21

MINIMUM FUNDING GUARANTEE AT +0.5%

School Name	2019-20 budget	2020-21 indicative budget	change
Avanti House Primary School	£1,633,276	£1,702,346	£69,070
Avanti House School	£4,362,456	£4,568,453	£205,997
Aylward Primary School	£2,089,283	£2,167,966	£78,683
Belmont School	£2,601,281	£2,696,856	£95,575
Bentley Wood High School	£5,849,220	£6,105,400	£256,180
Camrose Primary With Nursery	£2,176,310	£2,246,764	£70,453
Cannon Lane Primary School	£2,899,482	£3,028,038	£128,556
Canons High School	£5,651,993	£5,890,468	£238,475
Cedars Manor School	£2,483,367	£2,572,101	£88,733
Earlsmead Primary School	£1,445,539	£1,516,718	£71,179
Elmgrove Primary School & Nursery	£3,270,908	£3,395,582	£124,674
Glebe Primary School	£2,657,935	£2,762,291	£104,356
Grange Primary School	£2,633,359	£2,726,315	£92,956
Grimsdyke School	£2,259,467	£2,356,606	£97,139
Harrow High School	£5,021,821	£5,213,250	£191,428
Hatch End High School	£5,598,990	£5,830,418	£231,428
Heathland School	£2,601,729	£2,704,401	£102,672
Kenmore Park Infant and Nursery School	£1,240,615	£1,288,936	£48,321
Kenmore Park Junior School	£1,668,444	£1,733,098	£64,654
Krishna Avanti Primary School	£1,564,724	£1,629,071	£64,347
Longfield Primary School	£2,895,219	£3,016,208	£120,989
Marlborough Primary School	£2,813,002	£2,918,399	£105,397
Newton Farm Nursery, Infant and Junior School	£1,471,942	£1,530,988	£59,046
Norbury School	£2,595,628	£2,692,746	£97,118
Nower Hill High School	£7,913,535	£8,287,496	£373,961
Park High School	£7,208,226	£7,530,601	£322,374
Pinner High School	£3,131,927	£3,273,048	£141,121
Pinner Park Infant and Nursery School	£1,457,662	£1,515,553	£57,891
Pinner Park Junior School	£1,877,229	£1,954,934	£77,705
Pinner Wood School	£2,485,309	£2,583,333	£98,024
Priestmead Primary School and Nursery	£3,115,164	£3,243,064	£127,899
Rooks Heath College	£5,698,510	£5,940,254	£241,745
Roxbourne Primary School	£2,054,989	£2,132,490	£77,501
Roxeth Primary School	£1,875,146	£1,948,603	£73,457
Saint Jerome Church of England Bilingual School	£928,202	£963,329	£35,128
Salvatorian Roman Catholic College	£2,133,511	£2,223,896	£90,385
St Anselm's Catholic Primary School	£1,616,256	£1,685,479	£69,224
St Bernadette's Catholic Primary School	£1,628,420	£1,695,493	£67,072
St George's Primary School	£1,651,706	£1,720,646	£68,939
St John Fisher Catholic Primary School	£2,147,883	£2,241,096	£93,213
St John's CofE School Stanmore	£1,686,101	£1,753,611	£67,510
St Joseph's Catholic Primary School	£1,693,705	£1,762,276	£68,571
St Teresa's Catholic Primary School and Nursery	£1,697,568	£1,766,153	£68,585
Stag Lane Infant and Nursery School	£1,563,925	£1,621,977	£58,052
Stag Lane Junior School	£1,645,506	£1,709,672	£64,167
Stanburn Primary School	£3,195,126	£3,327,412	£132,286
The Moriah Jewish Day School	£726,555	£754,123	£27,568
The Sacred Heart Language College	£4,076,858	£4,266,407	£189,549
Vaughan Primary School	£2,497,666	£2,599,004	£101,338
Weald Rise Primary School	£2,102,439	£2,174,139	£71,700
Weldon Park Primary School	£2,237,863	£2,326,795	£88,933
West Lodge Primary School	£2,539,247	£2,646,348	£107,101
Whitchurch Primary School & Nursery	£3,139,577	£3,266,723	£127,146
Whitefriars School	£5,541,229	£5,762,372	£221,143
Whitmore High School	£8,040,756	£8,371,714	£330,958
Grand Total	£158,793,787	£165,341,458	£6,547,672

Disclaimer

Any figures contained in this document are for illustrative purposes only to demonstrate the continuation of the National Funding Formula based on the October 2018 schools census. These figures are subject to changes as a result of the October 2019 schools census in relation to fluctuations in pupil numbers and changes in pupil characteristics which impact on per pupil funding. In addition any changes to the Minimum Funding Guarantee are subject to affordability of the overall funding available